

ANNEXURE- B

COMPLAINTS DATA OF INVESTMENT ADVISER – EQUIRUS WEALTH PRIVATE LIMITED

DATA FOR THE MONTH ENDING -31.12.2021

Sr. No.	Received from	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending complaints > 3months	Average Resolution time^
1	Directly from Investors	0	0	0	0	0	0 days
2	SEBI (SCORES)	0	0	0	0	0	0 days
3	Other Sources (if any)	0	0	0	0	0	0 days
	Grand Total	0	0	0	0	0	0 days

Note:

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

TREND OF MONTHLY DISPOSAL OF COMPLAINTS

Sr No	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April, 2020	0	0	0	0
2	May, 2020	0	0	0	0
3	June, 2020	0	0	0	0
4	July, 2020	0	0	0	0
5	August, 2020	0	0	0	0
6	September, 2020	0	0	0	0
7	October, 2020	0	0	0	0
8	November, 2020	0	0	0	0
9	December, 2020	0	0	0	0
10	January, 2021	0	0	0	0
11	February, 2021	0	0	0	0
12	March, 2021	0	0	0	0
	Grand Total	0	0	0	0

Sr No	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April, 2019	0	0	0	0
2	May, 2019	0	0	0	0
3	June, 2019	0	0	0	0
4	July,2019	0	0	0	0
5	August,2019	0	0	0	0
6	September,2019	0	0	0	0
7	October,2019	0	0	0	0
8	November,2019	0	0	0	0
9	December,2019	0	0	0	0
10	January,2020	0	0	0	0
11	February,2020	0	0	0	0
12	March, 2020	0	0	0	0
	Grand Total	0	0	0	0

Sr No	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April, 2018	NA	NA	NA	NA
2	May, 2018	NA	NA	NA	NA
3	June, 2018	NA	NA	NA	NA
4	July,2018	NA	NA	NA	NA
5	August,2018	NA	0	0	0
6	September,2018	0	0	0	0
7	October,2018	0	0	0	0
8	November,2018	0	0	0	0
9	December,2018	0	0	0	0
10	January,2019	0	0	0	0
11	February,2019	0	0	0	0
12	March, 2019	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

TREND OF ANNUAL DISPOSAL OF COMPLAINTS

SN	Year	Carried forward from previous year	Received	Resolved**	Pending##
1	2018-19	0	0	0	0
2	2019-20	0	0	0	0
3	2020-21	0	0	0	0
	Grand Total	0	0	0	0

Note:

** Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.